



RIBOSOMAL DNA: MOLECULAR EVOLUTION AND PHYLOGENETIC INFERENCE

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ABSTRACT

Ribosomal DNA (rDNA) sequences have been aligned and compared in a number of living organisms, and this approach has provided a wealth of information about phylogenetic relationships. Studies of rDNA sequences have been used to infer phylogenetic history across a very broad spectrum, from studies among the basal lineages of life to relationships among closely related species and populations. The reasons for the systematic versatility of rDNA include the numerous rates of evolution among different regions of rDNA (both among and within genes), the presence of many copies of most rDNA sequences per genome, and the pattern of concerted evolution that occurs among repeated copies. These features facilitate the analysis of rDNA by direct RNA sequencing, DNA sequencing (either by cloning or amplification), and restriction enzyme methodologies. Constraints imposed by secondary structure of rRNA and concerted evolution need to be considered in phylogenetic analyses, but these constraints do not appear to impede seriously the usefulness of rDNA.

An analysis of aligned sequences of the four nuclear and two mitochondrial rRNA genes identified regions of these genes that are likely to be useful to address phylogenetic problems over a wide range of levels of divergence. In general, the small subunit nuclear sequences appear to be best for elucidating Precambrian divergences, the large subunit nuclear sequences for Paleozoic and Mesozoic divergences, and the organellar sequences of both subunits for Cenozoic divergences. Primer sequences were designed for use in amplifying the entire nuclear rDNA array in 15 sections by use of the polymerase chain reaction; these "universal" primers complement previously described primers for the mitochondrial rRNA genes. Pairs of primers can be selected in conjunction with the analysis of divergence of the rRNA genes to address systematic problems throughout the hierarchy of life.

INTRODUCTION

DURING THE PAST three decades, the field of systematic biology has undergone several simultaneous revolutions. The three most significant changes have been in the development and refinement of systematic theory, the technical refinement of data analysis brought on by the development of computers, and the introduction of molecular analysis. Although molecular biology is not a panacea for systematics, molecular systematists can approach many problems pre-

viously considered intractable by morphologists (Hillis, 1987; Patterson, 1987). For instance, there are very few homologous morphological characters that can be compared among all living organisms. In contrast, a number of genes with fundamental biochemical functions are found in all species and they can be sequenced, aligned, and analysed to study phylogenetic relationships at the deepest part of the tree of life. Other genes can be used to study relationships among morphologically indistinguishable but otherwise distinct species (Hillis and Moritz, 1990). This

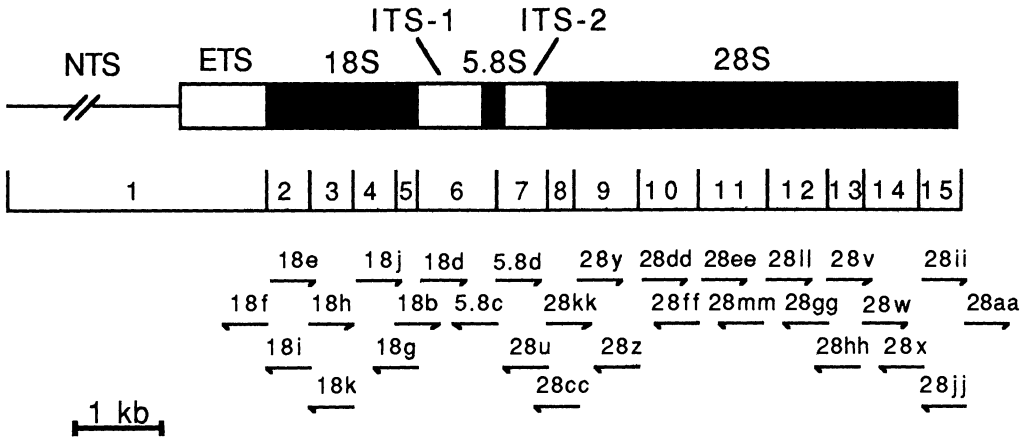


FIG. 1. THE rDNA ARRAY OF A EUKARYOTE.

The entire array can be amplified in sections (1-15) through the use of the primers indicated by arrows in polymerase chain reactions (primers are shown in Fig. 2).

entire range of applications, from the origin of life to relatively recent evolutionary events, has been addressed by studying the ribosomal RNA (rRNA) genes and their associated spacer regions, collectively called ribosomal DNA (Appels and Honeycutt, 1986; Mindell and Honeycutt, 1990).

This review is concerned with the inference of phylogenetic relationships from interspecific comparison of rDNA sequences. Some properties of rDNA are so sufficiently distinct from other molecular sequences that a number of special considerations are necessary or desirable when considering the use of rDNA in systematic studies. In addition, we will review the range of systematic problems to which studies of rDNA have been applied. The processes of rDNA evolution have been reviewed elsewhere (Gerbi, 1985, 1986), so these processes will be considered here only as they relate to phylogenetic inference.

Several distinct rRNAs combine with ribosomal proteins to form ribosomes, the organelles that direct protein synthesis from messenger RNA. Ribosomes are composed of two major subunits, each with distinct rRNAs and ribosomal proteins. The small ribosomal subunit contains a single type of rRNA and about 20 proteins in prokaryotes or 30 proteins in eukaryotes. The large ribosomal subunit contains two (prokaryotes) or three (eukaryotes) rRNAs and about 30 (prokaryotes)

to 40 (eukaryotes) ribosomal proteins. A single copy of each of the proteins is present per ribosome. Because protein synthesis is a prerequisite for life as we know it, ribosomes (and hence rRNAs) are universally present in living systems.

The rDNA array of a eukaryote nuclear genome typically consists of several hundred tandemly repeated copies of the transcription unit and nontranscribed spacer shown in Figure 1 (see Long and Dawid, 1980, for a review). The number of copies of this transcription unit, however, may be as few as one (as in *Tetrahymena*, Yao and Gall, 1977), or as many as several thousand (e.g., see Appels et al., 1980). In prokaryotes there are one to several copies of the rRNA genes, and the genes may be organized in a single operon (in which they are usually separated by tRNA genes), or they may be dispersed throughout the genome (Hofman et al., 1979; Brosius et al., 1981; Morgan, 1982). There are usually three or four distinct nonorganellar rRNAs in a species (Long and Dawid, 1980; Gerbi, 1986). These rRNAs are often characterized in sedimentation velocity units (S, for Svedburg) (1) a large subunit rRNA, which ranges in size from 16S [≈ 1500 nucleotides (nt)] in vertebrate mitochondria to 23S (≈ 2900 nt) in most prokaryote genomes, and up to 28S (over 4000 nt) in eukaryote nuclear genomes (see Gutell and Fox, 1988, and Gutell et al.,

1990, for compilations of known sequences); (2) a 5.8S rRNA (≈ 160 nt) in eukaryotes, which is derived from a part of the 23S rRNA of prokaryotes (Cox and Kelly, 1981; Jacq, 1981; Walker, 1981; Clark and Gerbi, 1982) and is still structurally and functionally closely related to the large rRNA; (3) a small subunit rRNA, which ranges from 12S (≈ 900 nt) in vertebrate mitochondria to 16S (≈ 1500 nt) in prokaryotes and up to 18S (≈ 1800 nt) in eukaryotes (see Huysmans and DeWachter, 1987; Dams et al., 1988; Neefs et al., 1990); and (4) a 5S rRNA (≈ 120 nt), the gene of which is closely associated with the other rRNA genes in many prokaryotes but is found elsewhere in the nuclear genome of most eukaryotes (see Wolters and Erdmann, 1989). Although these are the most common themes, numerous variations have been discovered

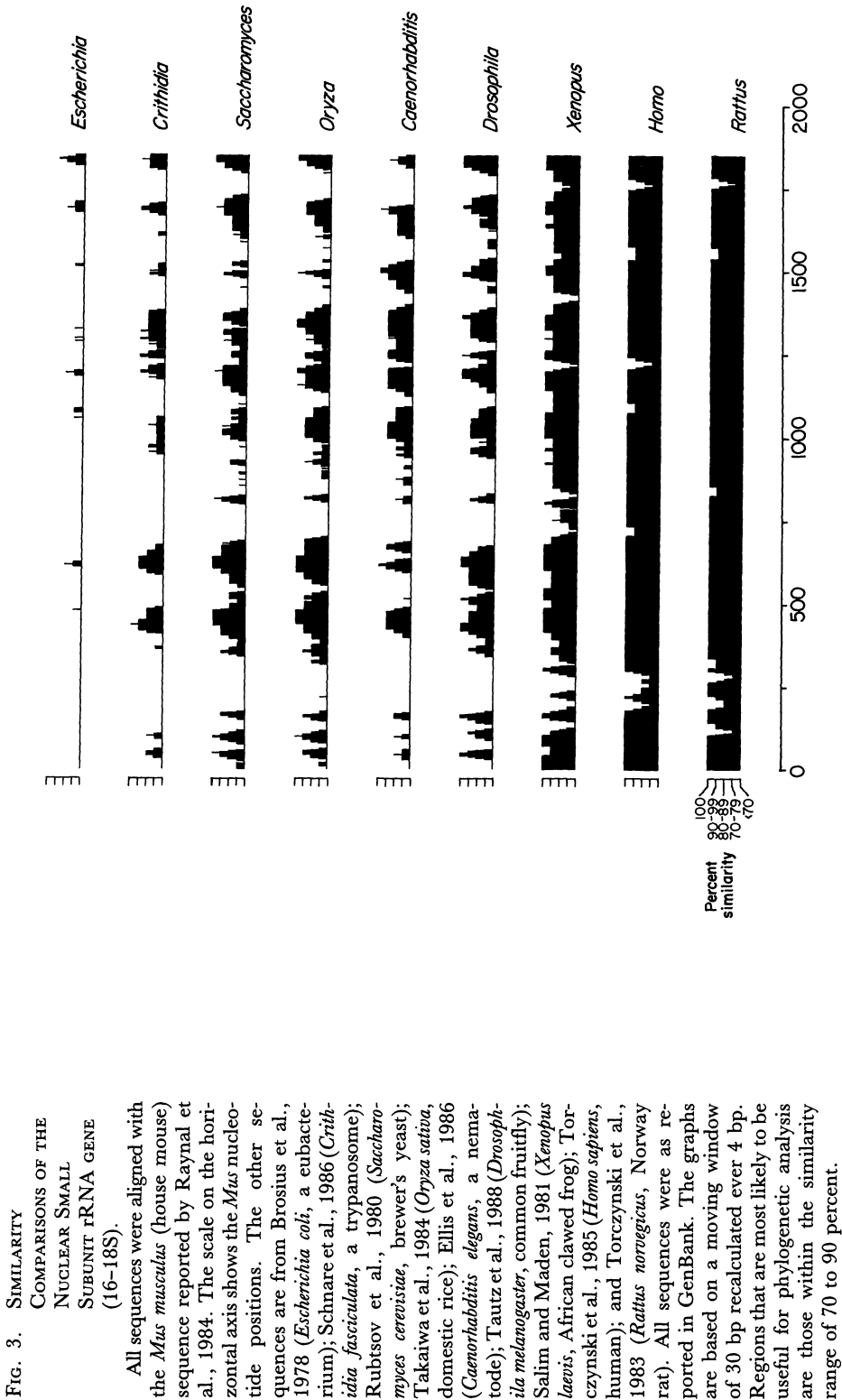
(e.g., Bobrova et al., 1987; Spencer et al., 1987). The large and small subunit rRNA genes of chloroplasts and mitochondria are more like those of their prokaryote ancestors than those of their eukaryote hosts (Schwarz and Kössel, 1980; Küntzel and Köchel, 1981; Grant and Lambowitz, 1982; Spencer et al., 1984; Palmer, 1985a,b; Evrard et al., 1990).

In eukaryotes, two internal transcribed spacers (ITS-1 and ITS-2) separate the 18S, 5.8S and 28S genes (or their homologs), and an external transcribed spacer (ETS) is located upstream of the 18S gene (Fig. 1). The transcribed spacers contain signals for processing the rRNA transcript. Adjacent copies of the rDNA repeat unit are separated by a nontranscribed spacer (NTS), also called an intergenic spacer by some workers. This region contains subrepeating elements that

<p>5 8c (5 8d) [85-109]</p> <p>Mammal GTGCGTTCGAAGTGCATGATCAAA Frog Loach UrchinA..... SilkwormA.....T..... Rice T.....A.....AC.....G.TC.</p>			<p>18j (18k) [1231-1259]</p> <p>Mammal GCGTGGCGTTAATTTGACTCAACAGGG Frog Fruitfly Nematode ..T..... Rice YeastC..... Protist ..G.....T.....</p>			<p>28y (28cc) [67-96]</p> <p>Mammal CTAACCAGGATTCCTCAGTAAACGGCGAGT FrogC..... UrchinC.....G..... FruitflyA.....TT..T...G Nematode ..AA.....T..... Rice ..T..G.....CT..... Yeast ..C.....G.....T.....</p>		
<p>18b [1640-1670]</p> <p>Mammal AGGAATCCCAAGTAAGTGGGGTCATAAGCT Frog Urchin ??.....C..A.....C..... Fruitfly T.....T.A.....T.A..... Nematode ..G..T.....T.A.....C..... Rice ..G..T.....C..A.....C..... YeastT.....C..AA.....C.....</p>			<p>28u (28kk) [69-90]</p> <p>Mammal CGTACTGAGGGAATCCTGGT FrogG..... Urchin ..C.....G..... Fruitfly ..G.....T..TT.....A..... Nematode ..A.....TT..... RiceC..... YeastA...C.....</p>			<p>28z (28dd) [1129-1154]</p> <p>Mammal AGACTCCTGGTCCGTGTTCAAGAC Frog Fruitfly Nematode GC.....GCAA..... Rice Yeast Protist T.....</p>		
<p>18d (18g) [1700-1722]</p> <p>Mammal CACACCGCCCGTCGCTACTACCGATTG Frog Urchin Fruitfly NematodeT.....GGAC RiceC..... YeastG..... ProtistT.GT.T.....</p>			<p>28v (28gg) [3429-3452]</p> <p>Mammal AAGGTAGCCAAATGCTCGTCCATC Frog Fruitfly NematodeT Rice Yeast ProtistT.....</p>			<p>28aa (28jj) [4200-4218]</p> <p>Mammal AGGTTAGTTTACCTACT Frog Fruitfly Nematode Rice Yeast ProtistG.....</p>		
<p>18e (18f) [5-23]</p> <p>Mammal CTGGTTGATCCTGCCAGT Frog Urchin Fruitfly Rice Yeast ProtistT.....</p>			<p>28w (28hh) [3565-3588]</p> <p>Mammal CCTGTTGAGCTTGACTTAGTCTG Frog Fruitfly ..T.....A..... NematodeT..... RiceC..... YeastT..... ProtistT.....C.....</p>			<p>28ee (28ff) [1795-1823]</p> <p>Mammal ATCCGCTAAGGAGTGTGAACAACCTCAC Frog Fruitfly Nematode Rice Yeast Protist Protist Protist ..G.....</p>		
<p>18h (18i) [420-451]</p> <p>Mammal AGGGTTCGATTCGCGAGGGAGCCTGAGAAA Frog Fruitfly Nematode ..C.....T..... Rice Yeast Protist ..C.TC.....GG.G.T.G.T.....</p>			<p>28x (28ii) [4106-4137]</p> <p>Mammal GTGATTCCTCTTCAATGATAGGAAGACC Frog Fruitfly ..T..... Nematode ..C.....G..... Rice YeastGGT..... Protist ..C.TC.....GG.G.T.G.T.....</p>			<p>28ii (28mm) [2616-2645]</p> <p>Mammal GATCCGTACTTCGGGATAAGGATGGCTC Frog Fruitfly NematodeA..... RiceA..... Yeast Protist ..G.....G.....CA.G.....C.....</p>		

FIG. 2. PRIMERS FOR USE IN POLYMERASE CHAIN REACTIONS TO AMPLIFY SECTIONS OF rDNA ARRAYS SHOWN IN FIGURE 1.

These sequences can also be used as probes in Southern blotting experiments (see text). A dot indicates the nucleotide is the same as in the first sequence listed for the particular primer. The primer named in parentheses is the complement of the primer shown. Primers 18b and 28x contain the *EcoRI* sites in the 18S and 28S genes, and can be used to amplify a 5-6 kb fragment (sections 5-14) that is easily cloned into vectors with *EcoRI* cloning sites.



serve as enhancers of transcription (Flavell and O'Dell, 1979; Kohorn and Rae, 1982, 1983; Reeder et al., 1983; Reeder, 1984).

STRUCTURE AND EVOLUTION OF rDNA:
IMPLICATIONS FOR PHYLOGENETIC ANALYSIS

Rates of Evolution

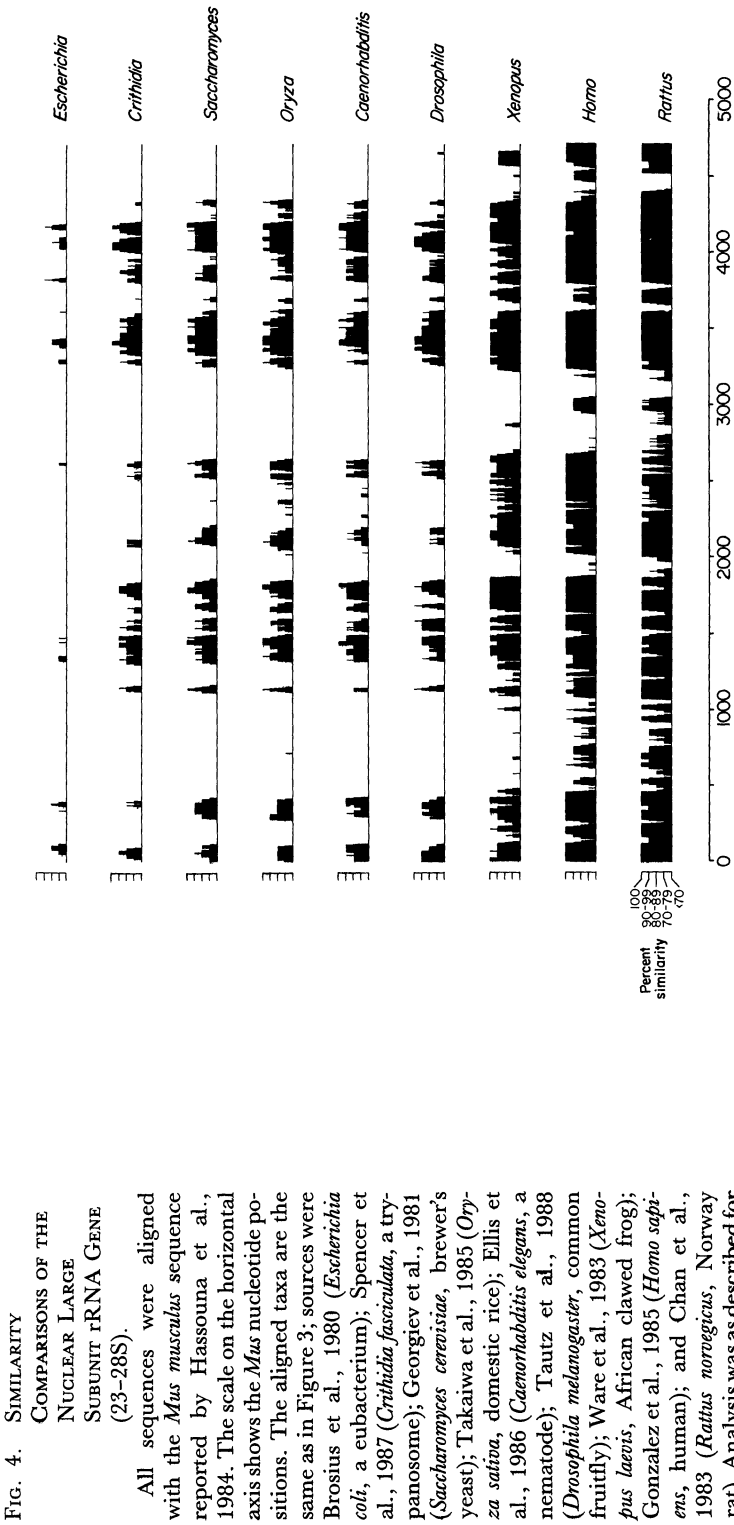
One of the reasons why rDNA is useful for phylogenetic analysis is that different regions of the rDNA repeat unit evolve at very different rates. Thus regions of rDNA arrays that are particularly likely to yield informative data for almost any systematic question can be selected for analysis. In addition, the islands of highly conserved sequences within most rRNA genes are very useful for constructing "universal" primers that can be used for sequencing either rRNA or rDNA from many species, for amplifying regions of interest by use of the polymerase chain reaction, or for use as probes in restriction enzyme analyses (Kocher et al., 1989; Hillis et al., 1990; Simon et al., 1990; Fig. 2). Although intraindividual length heterogeneity causes problems for direct sequencing of amplified DNA in some regions of the repeat, the amplified DNA can be cloned and sequenced relatively easily.

The process of choosing a region that is likely to be appropriate for a particular systematic question is perhaps the most critical step in any phylogenetic analysis. If the region chosen is evolutionarily too conserved (i.e., the sequences are nearly the same in all study taxa), then considerable time will be wasted collecting invariant data. On the other hand, regions that differ among taxa to the extent that alignments are difficult or questionable also are unlikely to yield robust phylogenies (Swofford and Olsen, 1990). There are two reasons highly divergent sequences yield less robust results: (1) the level of homoplasy (parallelisms, convergences and reversals) increases as the probability for change at each position increases, and (2) the number of possible alignments that are nearly equally good becomes prohibitively large. Since alignment of positional homologs is an assumption of any phylogenetic analysis, it is best to delete from analyses any regions where the alignment is questionable (Swofford and Olsen, 1990).

Although there are no absolute rules, we have found that alignments are often ambiguous when paired sequences differ by more than about 30 percent in any given region. Therefore, regions of DNA that are best for phylogenetic studies are those that are greater than about 70 percent but less than 100 percent similar. We have aligned the complete sequences of the four nuclear rRNA genes and the two mitochondrial rRNA genes among a number of taxa of varying propinquity of relationship in order to identify the regions most useful for studies at a given level of divergence (Figs. 3–8). These figures can be used as a rough guide for choosing appropriate regions for phylogenetic analysis.

The most studied rRNA is the small subunit nuclear gene, 16–18S rRNA (Fig. 3). This gene has been studied most extensively because it is among the slowest evolving sequences found throughout living organisms, and has therefore been very useful for examining ancient evolutionary events. In addition, the slow rate of change permits the construction of many nearly universal primers, which facilitates sequencing efforts from groups that have not been studied previously. As one can see from Figure 3, aligned sequences of the small subunit gene provide relatively few variable sites even as far back as the divergence of mammals and amphibians, between 300 and 400 MYA. If the comparisons among mammals in Figure 3 are representative, this gene provides virtually no useful regions for comparisons involving taxa that diverged since the Cretaceous. Much of the gene is useful for comparisons among phyla of eukaryotes, however, and some comparisons among eukaryotes and prokaryotes are possible (Fig. 3). It has been used most successfully for reconstructing phylogenetic events from the Precambrian (see the Appendix and below).

The large subunit (23–28S) nuclear rRNA gene is larger and shows more variation in rates of evolution of its different domains than does the small subunit (Fig. 4). Although ancient comparisons are also possible with this gene (Lake, 1989a; Schleifer and Ludwig, 1989; Gouy and Li, 1989a), it primarily has been used to examine evolutionary events through the Paleozoic and Mesozoic (e.g., Guadet et al., 1989; Hillis and Dixon, 1989;



Larson and Wilson, 1989; Zimmer et al., 1989; de Sá and Hillis, 1990; Hillis, Dixon, and Ammerman, 1991). The large subunit rRNA gene has many divergent domains or expansion segments (Hassouna et al., 1984), so the size of the gene varies considerably among phyla (Gutell and Fox, 1988). These divergent domains are useful for reconstructing relatively recent events (into the Cenozoic), although regions for study must be chosen carefully if the taxa have recently diverged (Fig. 4).

The 5.8S rRNA gene of eukaryotes (and the corresponding region of the large subunit gene of prokaryotes) is similar to the small subunit gene in its useful phylogenetic range (Fig. 5), although the shortness of the sequence limits its effectiveness in inferring phylogeny across great time scales. It shows very little variation in comparisons of taxa that diverged after the Paleozoic (Nazar et al., 1976; Fig. 5). The 5S gene shows similar levels of variation, but it is even shorter than the 5.8S sequence (Fig. 6; Sankoff et al., 1973). The shortness of the sequence greatly restricts its phylogenetic usefulness (Halanych, 1991; Steele et al., 1991).

The mitochondrial rRNA genes evolve much more rapidly than the nuclear rRNA genes, and they can be used for most Cenozoic comparisons (Figs. 7 and 8). They are easily amplified through use of the polymerase chain reaction (Kocher et al., 1989; Simon et al., 1991). Even comparisons among taxa that have diverged within the past twenty million years (e.g., *Mus* versus *Rattus* in Figs. 7 and 8) are likely to show many changes. Studies that have successfully used the mitochondrial rRNA genes for phylogenetic reconstruction are concentrated on vertebrates (Appendix), but studies on other groups are beginning to appear (see Simon et al., 1991).

The spacer regions of rDNA arrays have been used less frequently for phylogenetic studies (Verbeet et al., 1984; McIntyre et al., 1988; Yokota et al., 1989; Gonzalez et al., 1990; Kjems and Garrett, 1990), except in restriction analyses of entire rDNA arrays (e.g., Nelkin et al., 1980; Wilson et al., 1984; Sytsma and Schaal, 1985; Hillis and Davis, 1986; Cracraft and Mindell, 1989; Mindell and Honeycutt, 1989; Sites and Davis, 1989;

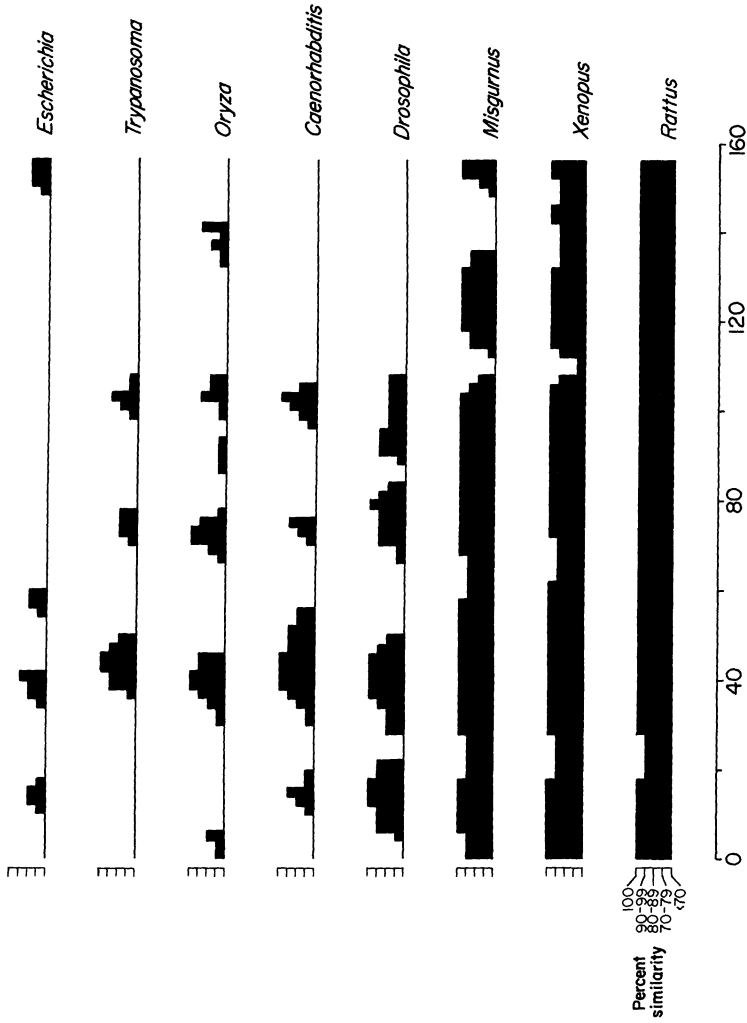
Allard and Honeycutt, 1991; Baker et al., in press). These studies have shown that the spacer regions can be used to infer phylogeny among closely related taxa (taxa that have diverged within the last 50 million years). In addition, variation in the spacer regions has been used to identify species or strains, to study hybridization, and as markers in population genetic studies (Toivonen et al., 1983; Saghai-Marooof et al., 1984; Rogers et al., 1986; Learn and Schaal, 1987; Schaal et al., 1987; Baker et al., 1989; King and Schaal, 1989; Sites and Davis, 1989; Hillis, Moritz, Porter, and Baker, 1991). Among the spacers, the NTS evolves most rapidly (Hoshikawa et al., 1983), and the transcribed spacers are somewhat more conserved (Appels and Dvořák, 1982a,b; Furlong and Maden, 1983). Amplification of the two internal transcribed spacers via the polymerase chain reaction is facilitated by conserved flanking regions of the 18S, 5.8S and 28S genes (Figs. 1 and 2), so the use of these spacers in studies involving closely related species is increasing (Appendix).

Concerted Evolution

As nuclear rRNA genes began to be studied in detail, it became clear that the multiple copies were not evolving independently, but in concert (Arnheim et al., 1980; Dover and Coen, 1981; Krystal et al., 1981; Coen, Strachan, and Dover, 1982; Coen, Thoday, and Dover, 1982; Arnheim, 1983). In other words, each copy of an rRNA array is usually very similar to the other copies within individuals and species, although differences among species accumulate rapidly in parts of the array. The differences among arrays within individuals are mostly length variation within the NTS (Wellauer, Dawid, Brown, and Reeder, 1976; Wellauer, Reeder, Dawid, and Brown, 1976; Stambrook, 1978; Arnheim et al., 1982; Cooper and Schmidtke, 1984; Yakura et al., 1984; Williams and Strobeck, 1985; Williams et al., 1985; Spencer et al., 1987), although smaller amounts of length variation also occur within individuals among the multiple copies of the genes (Gonzales et al., 1985). Nonetheless, the low variation among rDNA arrays within individuals (and throughout species) indicates that the multiple copies are homoge-

FIG. 5. SIMILARITY COMPARISONS OF THE 5.8S rRNA GENE (OR THE CORRESPONDING PORTION OF THE LARGE SUBUNIT GENE).

All sequences were aligned with the *Mus musculus* sequence reported by Walker et al., 1983. The scale on the horizontal axis shows the *Mus* nucleotide positions. The aligned taxa are the same as in Figure 3, except that the *Homo* and *Saccharomyces* sequences were not available, a different trypanosome (*Trypanosoma*) was used, and a ray-finned fish (*Misgurnus*) was included. Sources were Brosius et al., 1980 (*Escherichia coli*, a eubacterium); Dorfman et al., 1985 (*Trypanosoma brucei*, a trypanosome); Harisharan and Padayatty, 1987 (*Oryza sativa*, domestic rice); Ellis et al., 1986 (*Caenorhabditis elegans*, a nematode); Pavlakis et al., 1979 (*Drosophila melanogaster*, common fruitfly); Kupriyanova et al., 1985 (*Misgurnus fossilis*, a loach); Hall and Maden, 1980 (*Xenopus laevis*, African clawed frog); and Subrahmanyam et al., 1982 (*Rattus norvegicus*, Norway rat). Analysis was as described for Figure 3, except the window size was 10 bp, and similarity was calculated every 2 bp.



nized, among both homologous and nonhomologous chromosomes containing rDNA clusters. This phenomenon of homogenization is called concerted evolution (Arnheim et al., 1980).

Several processes appear to be responsible for concerted evolution, but the most important appear to be unequal crossing over (Smith, 1973, 1976; Perelson and Bell, 1977; Petes, 1980; Szostak and Wu, 1980) and gene conversion (Nagylaki and Petes, 1982; Nagylaki, 1984; Enea and Corredor, 1991). The relative contribution of these two mechanisms is debated (Dover, 1982a,b), although relatively few empirical data have been collected that discriminate between the possibilities. Seperack et al. (1988) argued that unequal crossing over is likely to be far more important because it can result in duplication or elimination of many repeats at once, whereas gene conversion events are thought to affect only one or a few repeats. In addition, the number of rDNA repeats is known to vary widely among individuals within species that have been studied (e.g., Henderson et al., 1976), a pattern that would be expected if unequal crossing over is common. Although Coen and Dover (1983) showed that unequal crossing over probably is responsible for the coevolution of rRNA arrays on the X and Y chromosomes of *Drosophila melanogaster*, Coen, Thoday, and Dover (1982) argued that rates of unequal crossing over are insufficient to account for patterns of concerted evolution seen among closely related species of *Drosophila*. Lassner and Dvorak (1986) reported that the distribution of mutations within the subrepeats of the nontranscribed spacer support gene conversion as the operative mechanism of homogenization. Hillis, Moritz, Porter, and Baker (1991) studied triploid parthenogenetic lines of lizards formed through multiple hybridization events of two sexual species, designated as SM6 and CA6. Homogenization of the rDNA arrays always proceeded in the same direction, with fixation of SM6 rDNA on CA6 chromosomes, even if two of the three chromosomes bearing rRNA genes were of CA6 ancestry. They argued that these data were consistent with biased gene conversion as the operative mechanism; if unequal crossing over was responsible, then a mechanism must exist that consistently biases the

unequal crossovers in favor of the SM6 genotype.

Whatever the mechanisms that account for the observed concerted evolution of rDNA arrays, the phenomenon has several effects on phylogenetic analyses. The ideal phylogenetic marker would evolve within species, but show little intraspecific variation compared to interspecific variation. For most single-copy genes, high levels of variation among species typically are accompanied by high variation within species, so that extensive sampling (among individuals and populations) is necessary to characterize a species. Among the rDNA genes, although intraspecific variation obviously occurs, it is greatly reduced compared to what would be expected based on observation of interspecific variation (because of concerted evolution). Thus, although some intraspecific sampling is still advisable in studies of closely related species, particularly studies involving the nontranscribed spacer (Williams et al., 1988), it is possible to use small sample sizes in most phylogenetic studies of rDNA (Hillis and Davis, 1988; Baverstock and Moritz, 1990).

A second advantage of a homogenized, multiple-copy gene family is ease of analysis. Since rRNA is so abundant and uniform, it can be sequenced directly using reverse transcriptase (Lane, Pace, Olsen, Stahl, Sogin, and Pace, 1985). Multiple copies and conserved restriction sites also aid in rapidly cloning rDNA repeats (Hillis and Dixon, 1989) or in amplifying regions of rDNA using the polymerase chain reaction (Medlin et al., 1988; Sogin, 1990). For restriction analyses, Southern blotting is greatly facilitated by the large number of relatively uniform fragments (Dowling et al., 1990).

Concerted evolution, however, undoubtedly imposes limitations on phylogenetic analyses as well. Evidence now exists that homogenization can also occur within, as well as among, rDNA repeats (Hancock and Dover, 1988). Hancock and Dover (1988) found that sequence similarity among divergent domains within the large subunit rRNA gene is often higher than is expected if the regions were evolving independently. If these regions are coevolving, then sequence positions within the regions cannot be treated as independent characters in a phylogenetic analysis (see the

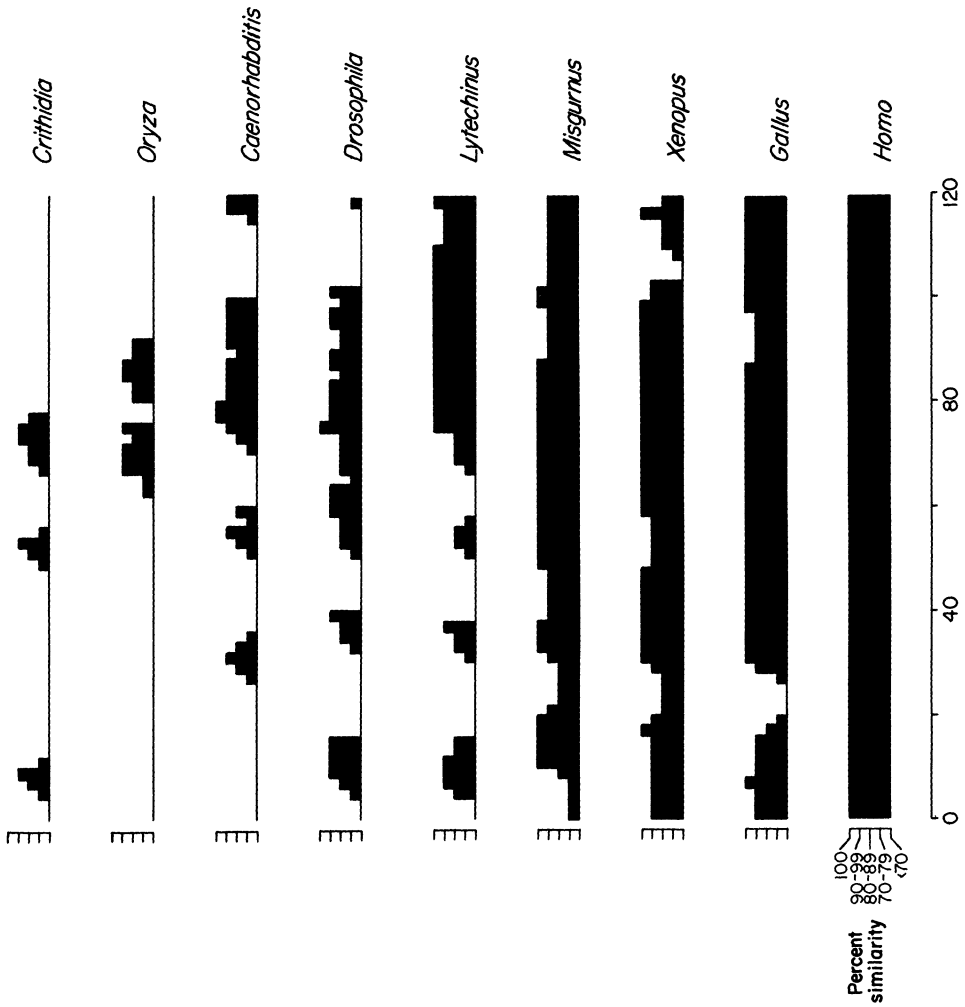


FIG. 6. SIMILARITY COMPARISONS OF THE NUCLEAR 5S rRNA GENE.

All sequences were aligned with the *Mesocricetus auratus* (Syrian hamster) sequence reported by Hart and Folk, 1982. The scale on the horizontal axis shows the *Mesocricetus* nucleotide positions. Sources were MacKay et al., 1980 (*Crithidia fasciculata*, a trypanosome); Hariharan et al., 1987 (*Oryza sativa*, domestic rice); Nelson and Honda, 1985 (*Caenorhabditis elegans*, a nematode); Tschudi and Pirrotta, 1980 (*Drosophila melanogaster*, common fruitfly); Simpson and Stafford, 1983 (*Lytechinus variegatus*, a sea urchin); Mashkova et al., 1981 (*Misgurnus fossilis*, a loach); Peterson et al., 1980 (*Xenopus laevis*, African clawed frog); Lazer et al., 1983 (*Gallus gallus*, chicken); and Forget and Weissman, 1969 (*Homo sapiens*, human). Analysis was as described for Figure 5.

discussion of weighting characters to account for nonindependence under *Secondary Structure*, below). In addition, if biases in gene conversion events are found to be sequence specific, then the possibility of parallel changes in multiple lineages will have to be considered.

It is important to recognize that although gene homogenization appears to be the rule, intragenomic variation is known. The most important cases seem to be growth-stage-specific rRNAs. This was first recognized in the existence of oocyte-specific 5S rRNA sequences (Wegnez et al., 1972; Mashkova et al., 1981). More recently, some *Plasmodium* species have been found to possess life-stage-specific small subunit rRNAs (Gunderson, Sogin, Wollett, Hollingdale, de la Cruz, Waters, and McCutchan, 1987; McCutchan et al., 1988). Although the number of sequence differences is substantial, they are concentrated in regions of rapid change, and are unlikely to interfere with the inference of distant relationships.

Given the number of unknowns associated with the processes responsible for concerted evolution of rDNA, some degree of caution probably is warranted in using rDNA for phylogenetic analysis (Rothschild et al., 1986). Results of phylogenetic studies based on rDNA, however, are generally consistent with those based on other sources of data in studies that involve multiple comparisons (Hillis, 1987), so it is likely that methods of phylogenetic inference are sufficiently robust to effectively handle the complexities of rDNA evolution. As new information becomes available on the constraints of concerted evolution, this information can be incorporated into phylogenetic analyses (e.g., through differential weighting of characters) for potentially increased resolution (see Swofford and Olsen, 1990).

Secondary Structure

To function properly within a ribosome, rRNA molecules must fold into a secondary structure that is directly dependent on the primary sequence (Noller, 1984). Although some secondary structure models are based on or tested with experimental evidence (e.g., Glotz and Brimacombe, 1980; Noller and Woese, 1981; Noller et al., 1981; Atmadja et

al., 1984; Klein et al., 1984), most estimates of the secondary structure of rRNAs are based on comparative analysis (Nishikawa and Takemura, 1974; Fox and Woese, 1975). These models of secondary structure have been produced for all the rRNAs, both nuclear and organellar, for a wide diversity of organisms (e.g., Mankin and Kopylov, 1981; Chan et al., 1983, 1984; Maly and Brimacombe, 1983; Clark et al., 1984; Hadjiolov et al., 1984; Nelles et al., 1984; Gorski et al., 1987; Cummings, Domenico, and Nelson, 1989; Cummings, Domenico, Nelson, and Sogin, 1989). These studies have shown that major features of rRNA secondary structure are highly conserved throughout life (Zweib et al., 1981; Michot et al., 1984; Dunon-Bluteau and Brun, 1986; Michot and Bachelierie, 1987; Gutell et al., 1990). This maintenance of secondary structure occurs despite the continued evolution of the primary sequence, because compensatory mutations occur between the paired nucleotides (Ebel et al., 1983; Michel and Dujon, 1983; Curtiss and Vournakis, 1984; Torres et al., 1990).

Wheeler and Honeycutt (1988) examined 5S and 5.8S rRNA sequences from a wide diversity of organisms, and showed that phylogenetic analyses of nucleotide positions involved in base pairing produced different results than analyses based on unpaired positions. Furthermore, their analyses of unpaired positions produced results that were more like traditional hypotheses of relationships based on morphological data. Wheeler and Honeycutt (1988) concluded that the constraints of secondary structure were more likely to produce spurious phylogenetic conclusions in analyses of paired bases, and they recommended eliminating paired positions, or at least assigning them one-half weight to account for their nonindependence. In contrast, Smith (1989) suggested that paired nucleotides produced more reliable results than did unpaired positions (compared to well-established morphological phylogenies) in a study of 18S rRNA sequences in echinoderms.

We conducted an analysis similar to those of Wheeler and Honeycutt (1988) and Smith (1989) for 28S gene sequences of vertebrates (Dixon and Hillis, unpub.). We found that the analysis of paired positions produced a

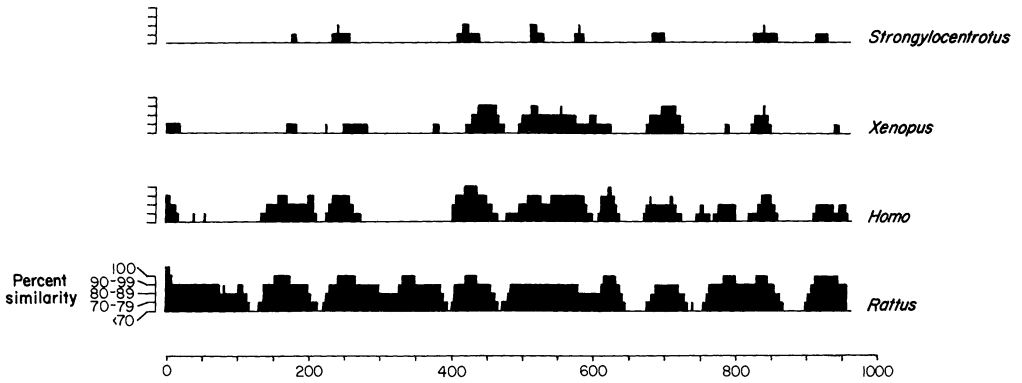


FIG. 7. SIMILARITY COMPARISONS OF THE 12S MITOCHONDRIAL rRNA GENE.

All sequences were aligned with the *Mus musculus* (house mouse) sequence reported by Bibb et al., 1981. The scale on the horizontal axis shows the *Mus* nucleotide positions. The other sequences are from Jacobs et al., 1988 (*Strongylocentrotus purpuratus*, a sea urchin); Roe et al., 1985 (*Xenopus laevis*, African clawed frog); Anderson et al., 1981 (*Homo sapiens*, human); and Kobayashi et al., 1981 (*Rattus norvegicus*, Norway rat). Analysis was as described for Figure 3.

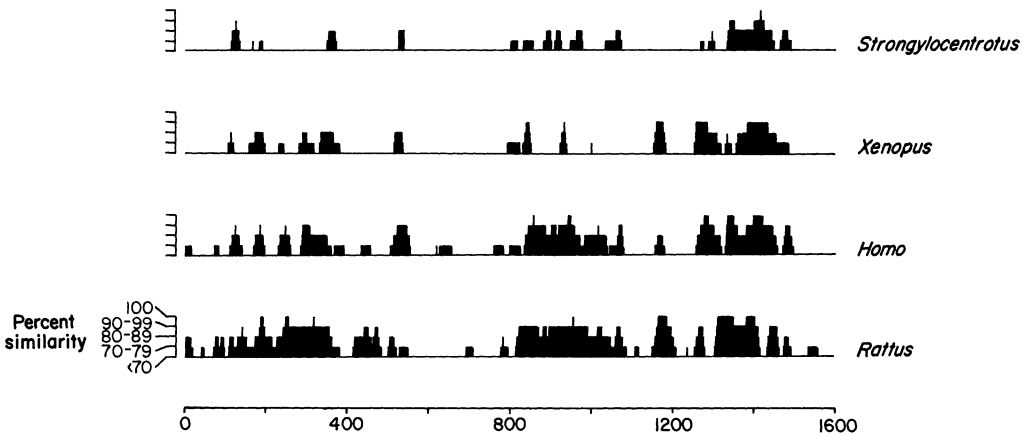


FIG. 8. SIMILARITY COMPARISONS OF THE 16S MITOCHONDRIAL rRNA GENE.

The aligned taxa, sources, and analysis are the same as in Figure 7 except that the *Rattus* sequence is from Saccone et al., 1981.

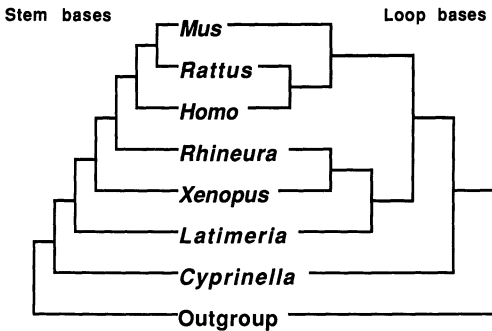


FIG. 9. RESULTS OF PHYLOGENETIC ANALYSIS OF NUCLEOTIDES THAT ARE PAIRED VERSUS UNPAIRED IN SECONDARY STRUCTURAL MODELS OF 28S RNA (SEE TABLE 1).

The tree on the left (based on the paired bases) is the same as in the analysis of the complete data set, and is also the commonly accepted tree based on morphology.

result that was better resolved than that obtained from analysis of unpaired positions (Fig. 9). Furthermore, the result from paired positions was identical to the result from the full data set, and also the same as the usually accepted tree from morphology. The percentage of phylogenetically informative characters that were paired positions is approximately the same as the percentage of paired positions in the total analysis (Table 1). The main difference between our analysis and that of Smith (1989) on the one hand, and the study by Wheeler and Honeycutt (1988) on the other hand, is the size of data sets. The results from analysis of the larger data sets, 18S sequences (Smith, 1989) and 28S sequences (our data) indicate that paired positions should not be eliminated from phylogenetic analyses—indeed, paired regions may contain most of the informative positions for some kinds of analyses.

The weighting scheme suggested by Wheeler and Honeycutt (1988)—assigning all paired bases one-half weight—assumes that compensatory changes to maintain secondary structure are perfect. In other words, if nearly every mutation immediately resulted in a compensatory mutation within paired regions, then adjusting the weighting in this manner would be a reasonable course of action. A simpler solution that would have the same effect (if

compensation were perfect) would be to eliminate half of the paired sites from phylogenetic analysis. Compensation is far from perfect, however, and different taxa may show different compensatory changes (RNA pairing is slightly more complicated than DNA pairing, because uracil can pair with either guanine or adenine). Therefore, calculations of weights for paired versus unpaired bases should be based on observed levels of compensation, rather than on assumptions of perfect compensation. Analyses of vertebrate data sets indicate that the appropriate level of weighting for paired positions is closer to one than to one-half (Dixon and Hillis, unpub.).

STUDIES OF PHYLOGENY

Methods of Data Collection

There are four primary classes of data on rDNA arrays that have been used to infer phylogenetic relationships. The first two methods to be used, oligonucleotide catalogs (e.g., Sogin et al., 1972; Bonen and Doolittle, 1975; Zablen et al., 1975; Woese and Fox, 1977; Kössel et al., 1983) and hybridization studies (e.g., Pace and Campbell, 1971a,b; Palleroni et al., 1973; Johnson and Francis, 1975; de Smedt and de Ley, 1977; Moore, 1977; Stackebrandt et al., 1981), were largely

TABLE 1
The distribution of chordate 28S rDNA sequence data analysed in Figure 9, categorized by secondary structure

Information on the complete gene is from the *Xenopus laevis* sequence (Ware et al., 1983). The other columns are from the analysis of secondary structure of Dixon and Hillis (unpub.) based upon the data set of Hillis, Dixon and Ammerman (1991). Variable positions are homologous nucleotides that vary in at least one taxon and informative characters are those that can affect tree topology in a parsimony analysis. Secondary structure was based on the model for *Xenopus* by Clark et al. (1984).

	Complete gene	Aligned bases	Variable positions	Informative characters
Unpaired	1,866 (45.4%)	907 (45.6%)	221 (44.4%)	53 (47.7%)
Stems	2,244 (54.6%)	1,082 (54.4%)	277 (55.6%)	58 (52.3%)
Total	4,110	1,989	498	111

replaced by sequencing studies as methods for sequencing became increasingly easier. The fourth type of study, restriction site analysis, is especially useful in population studies or studies of closely related species where large numbers of individuals must be sampled (Dowling et al., 1990).

Among rDNA sequencing studies, three methods are commonly used to collect nucleotide sequences. The first method to be used regularly in comparative studies was direct RNA sequencing using reverse transcriptase (Lane, Pace, Olsen, Stahl, Sogin, and Pace, 1985; Hillis et al., 1990). The primary advantages of this method are ease of analysis (no cloning is required) and the obtainment of consensus sequences (if several nucleotides are present at a given position in different copies of the target gene, the most common will likely be recorded). Only one strand can be sequenced, however, so two-strand verification is not possible, and analyses are limited to transcribed portions of the array. In addition, the lack of a cloned sequence means that further studies require newly isolated RNA, and differences between studies may be the result of either errors or differences in the source RNA.

The more traditional approach of cloning and sequencing rDNA eliminates the disadvantages of direct RNA sequencing at the expense of greater effort per nucleotide. Also, each sequence obtained represents a single repeat rather than a consensus of the whole array. The ease of cloning multiple copy genes such as the rRNA genes greatly reduces the relative difficulty of the cloning approach (Hillis and Dixon, 1989).

The third method of obtaining sequence data is amplification via the polymerase chain reaction, or PCR (Medlin et al., 1988; Sogin, 1990). The amplified product can either be sequenced directly or cloned and then sequenced. This method is easily adaptable for comparative studies in which a particular region of one of the rRNA genes is to be targeted (see Fig. 1). For sequencing large regions of rDNA, however, it is less expensive and less time-consuming to clone, because amplification of an entire repeat at one time is not practical. The cost of repeated amplifications of many different small regions is high compared to the cost of cloning the entire repeat,

and in the long run, cloning usually requires less time. For small-scale sequencing projects, on the other hand, PCR is highly time and cost effective.

Restriction analysis of rDNA is straightforward. Southern blots of rDNA can be screened using heterologous probes of cloned rDNA arrays (or parts thereof). If heterologous clones are used and length or sequence heterogeneity is present within individuals, mapping of restriction sites can be difficult (Hillis and Davis, 1988; Williams et al., 1988). An effective method for avoiding these problems is to use the oligonucleotide primers shown in Figure 2 as sequential probes on Southern blots. This has the advantage of reducing the region of comparison and thereby simplifying gel interpretation. Fine-scale restriction maps of regions of the repeat (the ITS regions, for instance) can be obtained by amplifying the regions using one biotinylated or radioactively labeled primer, followed by partial digestion and gel electrophoresis. The fragments so obtained immediately indicate the distance of each restriction site from the labeled primer, so restriction maps are relatively easy to construct.

Restriction mapping studies are useful not only for studying the phylogeny of relatively closely related species (Appendix), but also for any study in which many individuals need to be examined for a limited number of markers. For instance, restriction studies of rDNA have been used to study mechanisms of concerted evolution of ribosomal arrays (Hillis, Moritz, Porter, and Baker, 1991), interspecific hybridization (Baker et al., 1989; Sites and Davis, 1989), population dynamics and gene flow (Learn and Schaal, 1987; Schaal et al., 1987; King and Schaal, 1989), and typing of strains of fungi (Lachance, 1990). The chief advantages restriction site mapping studies have over sequencing studies are that many individuals can be examined and scored quickly, the cost for these analyses is much less than for sequencing, and a much greater portion of the genome can be surveyed with less effort (albeit with less detail).

Small Subunit rRNA

The small subunit (16-18S) rRNA gene (nuclear version in eukaryotes) has been studied more extensively than any other rDNA

sequence (Appendix). The primary reason for the extensive attention on this gene is that it is the most slowly evolving of the rDNAs (Fig. 3), and therefore it is useful for addressing questions about ancient evolutionary events. Studies on the earliest branchings of life have focused on the small subunit, and these studies have documented the extensive phylogenetic diversity present among the prokaryotes (see references under "Major lineages of life," Appendix). As a result of these studies, it is now generally recognized that the "prokaryotes" do not form a natural group. Considerable controversy, however, still exists about the content and relationships of the primary lines of descent. One group supports the position that prokaryotes consist of two lineages, the Archaeobacteria and Eubacteria (e.g., Woese and Fox, 1977; Woese, 1987; Gouy and Li, 1989a). Under this system, Archaeobacteria include the highly thermophilic, sulphur-dependent eocytes, as well as methanogens and halobacteria. In contrast, Lake (1988, 1989a) considers the eocytes to be more closely related to eukaryotes and the halobacteria to be more closely related to Eubacteria. The results are somewhat dependent on the molecule selected for analysis, the method of analysis, and the method of alignment of the sequences (Gouy and Li, 1989a).

Small subunit rDNA sequences have also been extremely important for elucidating higher relationships within Archaeobacteria and Eubacteria, as well as among the basal eukaryotes (see references under these headings in the Appendix). Until the widespread study of these sequences, there was little basis for ordering the diversity of prokaryotes or unicellular eukaryotes. As more rDNA sequences have become available for protists, the tremendous phylogenetic diversity of this paraphyletic assemblage has become increasingly clear (e.g., see Sogin, Edman, and Elwood, 1989; Sogin, Gunderson, Elwood, Alonso, and Peattie, 1989).

Most studies of phylogeny using small subunit rDNA sequences among the multicellular eukaryotes have concerned higher level relationships of phyla and classes (Appendix). For instance, seed plant relationships have been studied by Zimmer et al. (1989), and a major study of metazoans has been conducted by Field et al. (1988). The latter study has

been one of the most controversial studies of phylogeny ever conducted, and has stimulated numerous reanalyses of the relevant data (e.g., Ghiselin, 1989; Lake, 1989b; Patterson, 1989; Lake 1990). The most surprising conclusion of Field et al. (1988) was that multicellular animals are polyphyletic, with coelenterates more closely related to plants and fungi than to other metazoans. This conclusion, however, was not well supported (Field et al., 1989), and reanalyses of these data (e.g., Patterson, 1989) support the more traditional arrangement of a monophyletic Animalia.

Large Subunit rRNA

The large subunit rRNA is the largest of the rRNAs, and contains regions that evolve more rapidly than the small subunit rRNA as well as some regions that evolve as slowly as those in the small subunit (Fig. 4). Thus a large subunit rRNA sequence can be used successfully to infer phylogenetic relationships among more closely related organisms (within eukaryote phyla, for instance). Fewer comparative studies of this gene have been conducted than for the small subunit gene, but it is beginning to be studied fairly extensively among the vertebrates (Appendix). Most of these studies are in fairly close agreement with traditional ideas about vertebrate phylogeny, although some differences do exist. For instance, the relationships of salamanders supported by 28S sequences are vastly different from those supported by morphology (Larson and Wilson, 1989; Hillis, 1991; Larson, 1991), and the resolution of relationships among the major clades of amniotes is poor (Hillis and Dixon, 1989; Hedges et al., 1990). Large subunit sequences, however, have been useful for distinguishing among a number of proposed alternatives at various levels of the vertebrate tree, such as the relationships within the pipid frogs (de Sá and Hillis, 1990), among orders of amphibians (Larson and Wilson, 1989), or among the basal sarcopterygians (Hillis, Dixon, and Ammerman, 1991).

5S and 5.8S rRNAs

The 5S and 5.8S rRNA genes are very short (Figs. 5 and 6), so the number of phylogenetically informative sites is quite limited

for most studies (Halanych, 1991; Steele et al., 1991). Nonetheless, some success has been obtained in using these sequences to examine relationships within major phyla of eukaryotes (e.g., Hendriks et al., 1986; Wheeler and Honeycutt, 1988; Steele et al., 1991) and occasionally at higher levels (e.g., Ohama et al., 1984). Comparisons across a wide spectrum of life (Figs. 5 and 6) reveal that at least some regions evolve quite slowly and can be aligned throughout living organisms. As argued by Halanych (1991), however, these sequences usually are too short to be used by themselves to produce robust phylogenetic results.

Organellar rRNAs

The rRNA genes of mitochondria and chloroplasts have been critical for inferring the origins of endosymbiosis of these organelles (Bonen and Doolittle, 1975, 1976; Küntzel and Köchel, 1981; Spencer et al., 1981; Yang, Oyaizu, Oyaizu, Olsen, and Woese, 1985; Evrard et al., 1990). In addition, these sequences can be studied within a particular group to elucidate phylogenies of more closely related taxa. The mitochondrial rRNA genes are particularly useful for looking at relationships with eukaryote groups that have diverged in the Cenozoic (Appendix), which makes them largely complementary to the nuclear rRNA genes. For instance, they have been sequenced for numerous species of mammals, and used to infer the phylogeny of groups within marsupials, artiodactyls, and primates (Hixson and Brown, 1986; Miyamoto et al., 1989, 1990; Thomas et al., 1989). Phylogenetic study of mitochondrial rDNA sequences, including the construction of appropriate primers for amplification, was recently reviewed by Simon et al. (1991).

THE FUTURE OF rDNA STUDIES

The Appendix documents the great range and utility of phylogenetic analyses of rDNA.

One might assume from seeing such a long list of studies that analysis of rDNA has become mundane, and that there are no more real questions about the processes of rDNA evolution, just considerable cataloging ahead. This assumption is incorrect. The processes responsible for concerted evolution of rDNA arrays (or other repeated sequences) are still poorly known or documented, and the complexities of patterns of self-similarity are just beginning to be evaluated. Much work needs to be conducted on the rationale of weighting positions based on considerations of secondary structure, within-gene homogenization, subrepeats within repeats, and probability of change. Moreover, the spacer regions have received much less attention than the gene sequences, so the usefulness of comparative studies of rDNA spacers for investigations of closely related species and populations needs further evaluation.

Studies of rDNA sequences have changed the way we view organismal diversity. They have had the greatest systematic impact to date at the deepest levels of the tree of life, and for groups in which morphologies provide little information (e.g., unicellular organisms). They are also useful, however, at most other levels of phylogenetic divergence, from the Precambrian (small subunit), through the Paleozoic and Mesozoic (large subunit), to the Cenozoic (organellar genes and spacers regions). Thus, rRNA genes and their associated spacers are among the most versatile sequences for phylogenetic analysis of the history of life.

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APPENDIX

Phylogenetic studies of ribosomal DNA

Abbreviations: nL, nuclear large subunit; nS, nuclear small subunit; mtS, mitochondrial small subunit; mtL, mitochondrial large subunit; cpS, chloroplast small subunit; cpL, chloroplast large subunit; H, hybridization study; O, oligonucleotide catalog; R, restriction enzyme analysis; S, sequence study.

Taxa	nL	nS	5.8S	Spacers	5S	mtS	mtL	cpS	cpL	Reference
Major lineages of life	-	-	-	-	S	-	-	-	-	Kimura and Ohta, 1973
	-	O	-	-	-	-	-	-	-	Woese and Fox, 1977
	-	-	-	-	S	-	-	-	-	Schwartz and Dayhoff, 1978
	-	-	-	-	S	-	-	-	-	Hori and Osawa, 1979
	-	O	-	-	-	-	-	-	-	Fox et al., 1980
	-	-	-	-	S	-	-	-	-	Gray and Spencer, 1981
	-	-	-	-	S	-	-	-	-	Spencer et al., 1981
	-	S	-	-	-	S	-	S	-	McCarroll et al., 1983
	-	-	-	-	S	-	-	-	-	Küntzel et al., 1983
	-	S	-	-	-	S	-	S	-	Gray et al., 1984
	-	-	-	-	S	-	-	-	-	Vandenberghe et al., 1984
	-	S	-	-	-	-	-	-	-	Jarsch and Böck, 1985
	-	S	-	-	-	S	-	S	-	Olsen et al., 1985
	-	S	-	-	-	S	-	S	-	Yang and Oyaizu et al., 1985
	-	-	-	-	S	-	-	-	-	Hori and Osawa, 1986
	-	S	-	-	-	S	-	S	-	Pace et al., 1986
	-	-	-	-	S	-	-	-	-	Willekens et al., 1986
	-	S	-	-	S	-	-	-	-	Wolters and Erdmann, 1986
	-	-	-	-	S	-	-	-	-	Hori and Osawa, 1987
	-	S	-	-	-	-	-	-	-	Lake, 1987
	-	S	-	-	-	-	-	-	-	Olsen, 1987
	-	O,S	-	-	-	-	O,S	-	-	Woese, 1987
	-	S	-	-	-	-	-	-	-	Lake, 1988
	S	S	-	-	-	S	S	S	S	Cedergren et al., 1988
	-	S	-	-	-	-	-	-	-	Ragan, 1988
	-	O	-	-	-	-	-	-	-	Bremer and Bremer, 1989
	S	S	-	-	-	-	-	-	-	Gouy and Li, 1989a
	-	S	-	-	-	-	-	-	-	Lake, 1989a
	S	-	-	-	-	-	-	-	-	Schleifer and Ludwig, 1989
	-	S	-	-	-	-	-	-	-	Woese, 1989
	-	S	-	-	-	-	-	-	-	Van de Peer, Neefs and de Wachter, 1990
	-	S	-	-	-	-	-	-	-	Patterson, 1990
Archaeobacteria	-	O	-	-	-	-	-	-	-	Fox and Magram et al., 1977
	-	O	-	-	-	-	-	-	-	Balch et al., 1979
	-	-	-	-	S	-	-	-	-	Fox et al., 1982
	-	H	-	-	-	-	-	-	-	Tu et al., 1982
	-	S	-	-	-	-	-	-	-	Gupta et al., 1983
	-	O	-	-	-	-	-	-	-	Woese and Gupta et al., 1984
	-	S	-	-	-	-	-	-	-	Lechner et al., 1985
	-	S	-	-	-	-	-	-	-	Leinfelder et al., 1985
	-	O	-	-	-	-	-	-	-	Yang, Kaine and Woese, 1985
	H	H	H	-	H	-	-	-	-	Klenk et al., 1986
	-	O	-	-	-	-	-	-	-	McGill et al., 1986
	-	S	-	-	-	-	-	-	-	Woese and Olsen, 1986
	-	S	-	-	-	-	-	-	-	Achenbach-Richter and Gupta et al., 1987
	-	S	-	-	-	-	-	-	-	Kjems et al., 1987
	S	-	-	-	-	-	-	-	-	Leffers et al., 1987
-	S	-	-	-	-	-	-	-	Østergaard et al., 1987	

APPENDIX continuation
Phylogenetic studies of ribosomal DNA

Taxa	nL	nS	5.8S	Spacers	5S	mtS	mtL	cpS	cpL	Reference
	-	S	-	-	-	-	-	-	-	Achenbach-Richter et al., 1988
	-	O	-	-	-	-	-	-	-	Zellner et al., 1989
	-	-	-	S	-	-	-	-	-	Kjems and Garrett, 1990
Eubacteria	-	-	-	-	O	-	-	-	-	Sogin et al., 1972
	H	H	-	-	-	-	-	-	-	Palleroni et al., 1973
	-	O	-	-	-	-	-	O	-	Bonen and Doolittle, 1975
	-	S	-	-	-	-	-	-	-	Doolittle et al., 1975
	H	-	-	-	-	-	-	-	-	Johnson and Francis, 1975
	-	O	-	-	-	-	-	-	-	Zablen and Woese, 1975
	-	O	-	-	-	-	-	-	-	Zablen et al., 1975
	-	S	-	-	-	-	-	-	-	Bonen and Doolittle, 1976
	-	O	-	-	-	-	-	-	-	Pechman et al., 1976
	-	O	-	-	-	-	-	-	-	Woese et al., 1976
	-	O	-	-	-	-	-	-	-	Balch et al., 1977
	H	H	-	-	-	-	-	-	-	de Smedt and de Ley, 1977
	-	O	-	-	-	-	-	-	-	Fox, Pechman and Woese, 1977
	H	H	-	-	-	-	-	-	-	Moore, 1977
	-	O	-	-	-	-	-	-	-	Bonen and Doolittle, 1978
	H	H	-	-	-	-	-	-	-	de Ley et al., 1978
	-	O	-	-	-	-	-	-	-	Gibson et al., 1979
	H	H	-	-	-	-	-	-	-	de Smedt et al., 1980
	H	H	-	-	-	-	-	-	-	Gillis and de Ley, 1980
	H	H	-	-	-	-	-	-	-	Mordarski et al., 1980
	H	H	-	-	-	-	-	-	-	Swings et al., 1980
	-	O	-	-	-	-	-	-	-	Woese and Magrum et al., 1980
	-	O	-	-	-	-	-	-	-	Woese, Maniloff and Zablen, 1980
	-	O	-	-	-	-	-	-	-	Ludwig et al., 1981
	-	O	-	-	-	-	-	-	-	Stackebrandt and Woese, 1981
	H	H	-	-	-	-	-	-	-	Stackebrandt et al., 1981
	-	O	-	-	-	-	-	-	-	Tanner et al., 1981
	H	H	-	-	-	-	-	-	-	Döpfer et al., 1982
	-	S	-	-	-	-	-	-	-	Seewaldt and Stackebrandt, 1982
	-	O	-	-	-	-	-	-	-	Woese et al., 1982
	-	O	-	-	-	-	-	-	-	Ludwig et al., 1983
	-	O	-	-	-	-	-	-	-	Stackebrandt et al., 1983
	-	-	-	-	S	-	-	-	-	Dekio et al., 1984
	-	-	-	-	S	-	-	-	-	Deming et al., 1984
	-	O	-	-	-	-	-	-	-	Fowler et al., 1984
	-	O	-	-	-	-	-	-	-	Hespell et al., 1984
	-	O	-	-	-	-	-	-	-	Paster et al., 1984
	-	O	-	-	-	-	-	-	-	Stackebrandt et al., 1984
	R	R	R	R,S	-	-	-	-	-	Verbeet et al., 1984
	-	O	-	-	-	-	-	-	-	Woese and Stackebrandt et al., 1984
	-	O	-	-	-	-	-	-	-	Woese and Weisburg et al., 1984
	-	O	-	-	-	-	-	-	-	Woese, Blanz and Hahn, 1984
	-	O	-	-	-	-	-	-	-	Gibson et al., 1985
	-	-	-	-	S	-	-	-	-	Lane and Stahl et al., 1985
	-	-	-	-	S	-	-	-	-	MacDonell and Colwell, 1985a,b
	-	S	-	-	-	-	-	-	-	Oyaizu and Woese, 1985
	-	O	-	-	-	-	-	-	-	Paster et al., 1985
	-	-	-	-	S	-	-	-	-	Rogers et al., 1985
	-	O	-	-	-	-	-	-	-	Stackebrandt et al., 1985
	-	-	-	-	S	-	-	-	-	Stahl et al., 1985
	-	-	-	-	S	-	-	-	-	Vandenberghe et al., 1985

APPENDIX *continuation*
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Taxa	nL	nS	5.8S	Spacers	5S	mtS	mtL	cpS	cpL	Reference
	-	S	-	-	-	-	-	-	-	Weisburg and Oyaizu et al., 1985
	-	S	-	-	-	-	-	-	-	Weisburg and Woese et al., 1985
	-	S	-	-	-	-	-	-	-	Woese and Debrunner-Vossbrinck et al., 1985
	-	O	-	-	-	-	-	-	-	Woese, Stackebrandt and Ludwig, 1985
	-	O	-	-	-	-	-	-	-	Woese, Stackebrandt, Macke and Fox, 1985
	-	O	-	-	-	-	-	-	-	Woese and Weisburg et al., 1985
	-	-	-	-	S	-	-	-	-	Brayton et al., 1986
	-	-	-	-	S	-	-	-	-	Ohkubo et al., 1986
	-	S	-	-	-	-	-	-	-	Weisburg et al., 1986
	-	S	-	-	-	-	-	-	-	Achenbach-Richter, Stetter and Woese, 1987
	-	O	-	-	-	-	-	-	-	Albrecht et al., 1987
	-	-	-	-	S	-	-	-	-	Dams et al., 1987
	-	S	-	-	-	-	-	-	-	Oyaizu et al., 1987
	-	-	-	-	S	-	-	-	-	Park et al., 1987
	-	S	-	-	-	-	-	-	-	Romaniuk et al., 1987
	-	S	-	-	-	-	-	-	-	Wells et al., 1987
	-	O	-	-	-	-	-	-	-	Auling et al., 1988
	-	-	-	-	S	-	-	-	-	Bomar et al., 1988
	-	S	-	-	-	-	-	-	-	Chuba et al., 1988
	-	-	-	-	S	-	-	-	-	Deming et al., 1988
	-	S	-	-	-	-	-	-	-	Distel et al., 1988
	-	O	-	-	-	-	-	-	-	Ehlers et al., 1988
	-	S	-	-	-	-	-	-	-	Embley et al., 1988a
	-	S	-	-	-	-	-	-	-	Embley et al., 1988b
	-	S	-	-	-	-	-	-	-	Franzmann and Stackebrandt et al., 1988
	-	S	-	-	-	-	-	-	-	Franzmann, Wehmeyer and Stackebrandt, 1988
	-	S	-	-	-	-	-	S	-	Giovannoni et al., 1988
	-	S	-	-	-	-	-	-	-	Montgomery et al., 1988
	-	S	-	-	-	-	-	-	-	Bateson et al., 1989
	-	-	-	-	S	-	-	-	-	Coyne et al., 1989
	-	S	-	-	-	-	-	-	-	Demharter et al., 1989
	-	S	-	-	-	-	-	-	-	Devereux et al., 1989
	-	S	-	-	-	-	-	-	-	Dewhirst et al., 1989
	-	S	-	-	-	-	-	-	-	Fox and Brown, 1989
	-	O	-	-	-	-	-	-	-	Hahn et al., 1989
	-	S	-	-	-	-	-	-	-	Hartmann et al., 1989
	-	S	-	-	-	-	-	-	-	Lim and Sears, 1989
H	H	-	-	-	-	-	-	-	-	Roggentin and Hirsch, 1989
	-	O	-	-	-	-	-	-	-	Stackebrandt et al., 1989
	-	S	-	-	-	-	-	-	-	Tsuji et al., 1989
	-	S	-	-	-	-	-	-	-	Turner et al., 1989
	-	S	-	-	-	-	-	-	-	Weisburg and Dobson et al., 1989
	-	S	-	-	-	-	-	-	-	Weisburg, Giovanni and Woese, 1989
	-	S	-	-	-	-	-	-	-	Weisburg and Tully et al., 1989
	-	S	-	-	-	-	-	-	-	Yang and Woese, 1989
	-	S	-	-	-	-	-	-	-	Bateson et al., 1990
	-	-	-	-	S	-	-	-	-	Bulygina et al., 1990

APPENDIX continuation
Phylogenetic studies of ribosomal DNA

Taxa	nL	nS	5.8S	Spacers	5S	mtS	mtL	cpS	cpL	Reference
	-	S	-	-	-	-	-	-	-	Sogin and Elwood, 1986
	-	S	-	-	-	-	-	-	-	Sogin, Elwood and Gunderson, 1986
	-	S	-	-	-	-	-	-	-	Sogin and Ingold et al., 1986
	-	S	-	-	-	-	-	-	-	Sogin and Swanton et al., 1986
	-	-	S	-	-	-	-	-	-	Troitsky and Bobrova, 1986
	-	S	-	-	-	-	-	-	-	Gunderson and Elwood et al., 1987
	-	S	-	-	-	-	-	-	-	Johnson et al., 1987
	-	S	-	-	-	-	-	-	-	Sogin and Gunderson, 1987
	-	S	-	-	-	-	-	-	-	Vossbrinck et al., 1987
	S	-	-	-	-	-	-	-	-	Baroin et al., 1988
	-	S	-	-	-	-	-	-	-	Clark and Cross, 1988
	-	S	-	-	-	-	-	-	-	Johansen et al., 1988
	-	S	-	-	-	-	-	-	-	Johnson et al., 1988
	-	-	-	-	-	S	S	-	-	Lake et al., 1988
	S	-	-	-	-	-	-	-	-	Lenaers et al., 1988
	-	S	-	-	-	-	-	-	-	Lynn and Sogin, 1988
	S	-	-	-	-	-	-	-	-	Qu and Perasso et al., 1988
	-	S	-	-	-	-	-	-	-	Baverstock et al., 1989
	-	S	-	-	-	-	-	-	-	Johnson et al., 1989
	S	-	-	-	-	-	-	-	-	Lenaers et al., 1989
	S	-	-	-	-	-	-	-	-	Perasso et al., 1989
	-	S	-	-	-	-	-	-	-	Sogin and Gunderson et al., 1989
	-	S	-	-	-	-	-	-	-	Barta et al., 1991
	S	S	S	-	-	-	-	-	-	Gomez et al., 1991
	-	S	-	-	-	-	-	-	-	Hendricks et al., 1991
	S	-	-	-	-	-	-	-	-	Lenaers et al., 1991
	-	S	-	-	-	-	-	-	-	Schlegel et al., 1991
Fungi	S	-	-	-	-	-	S	-	-	Köchel and Küntzel, 1982
	-	-	-	-	S	-	-	-	-	Huysmans et al., 1983
	-	-	-	-	S	-	-	-	-	Chen et al., 1984
	-	-	-	-	S	-	-	-	-	Gottschalk and Blanz, 1984
	-	-	-	-	S	-	-	-	-	Walker, 1984a
	-	-	-	-	S	-	-	-	-	Walker, 1984b
	-	-	-	-	S	-	-	-	-	Gottschalk and Blanz, 1985
	-	-	-	-	S	-	-	-	-	Blanz and Gottschalk, 1986
	R	R	R	R	-	-	-	-	-	Kistler et al., 1987
	-	S	-	-	-	-	-	-	-	Edman et al., 1988
	-	-	-	-	-	S	-	-	-	Cummings, Domenico and Nelson, 1989; Cummings, Domenico, Nelson and Sogin, 1989
	S	-	-	-	-	-	-	-	-	Guadet et al., 1989
	R	R	R	R	-	-	-	-	-	Laaser et al., 1989
	-	S	-	-	-	-	-	-	-	Watanabe et al., 1989
	R	R	R	R	-	-	-	-	-	Lachance, 1990
Plantae	-	-	-	-	S	-	-	-	-	Hori et al., 1984
	-	-	-	-	S	-	-	-	-	Ulbrich et al., 1984
	-	-	-	-	S	-	-	-	-	Yamano et al., 1984
	-	-	-	-	S	-	-	-	-	Hori et al., 1985
	-	S	-	-	-	-	-	-	-	Troitsky and Bobrova, 1986
	-	S	-	-	-	-	-	-	-	Hamby and Zimmer, 1988
	-	-	-	-	S	-	-	-	-	Devereux, Loeblich III and Fox, 1990
	-	-	-	-	S	-	-	-	-	Steele et al., 1991

APPENDIX *continuation*
Phylogenetic studies of ribosomal DNA

Taxa	nL	nS	5.8S	Spacers	5S	mtS	mtL	cpS	cpL	Reference	
Green algae	—	S	—	—	—	—	—	—	—	Jupe et al., 1988	
	—	S	—	—	—	—	—	—	—	Rausch et al., 1989	
	—	S	—	—	—	—	—	—	—	Huss and Sogin, 1990	
	—	—	—	—	S	—	—	—	—	Van de Peer and de Baere et al., 1990	
	—	S	—	—	—	—	—	—	—	Eschbach et al., 1991	
	—	R,S	—	—	—	—	—	—	—	Rowan and Powers, 1991	
	Seed plants	—	S	—	—	—	—	—	—	—	Nairn and Ferl, 1988
		S	S	—	—	—	—	—	—	—	Zimmer et al., 1989
	Angiosperms	R	R	R	R	—	—	—	R	R	Sytsma and Schaal, 1985
		—	—	—	—	S	—	—	—	S	Bobrova et al., 1987
—		—	—	S	—	—	—	—	—	McIntyre et al., 1988	
—		—	—	—	S	—	—	—	—	Scoles et al., 1988	
—		—	—	—	—	—	—	S	S	Wolfe et al., 1989	
—		—	S	S	—	—	—	—	—	Yokota et al., 1989	
R		R	R	R	—	—	—	—	—	Bellarosa et al., 1990	
—		S	—	—	—	—	—	—	—	Nickrent and Franchina, 1990	
R		R	R	R	—	—	—	—	—	Reddy et al., 1990	
Animalia		—	—	—	—	S	—	—	—	—	Komiya et al., 1980
	—	—	—	—	S	—	—	—	—	Butler et al., 1981	
	—	—	—	—	S	—	—	—	—	Kumazaki, Hori, Osawa, Ishii and Suzuki, 1982	
	—	—	—	—	S	—	—	—	—	Komiya et al., 1983	
	—	—	—	—	S	—	—	—	—	Ohama and Kumazaki et al., 1983	
	—	—	—	—	S	—	—	—	—	Walker and Doolittle, 1983a	
	—	—	—	—	S	—	—	—	—	Ohama et al., 1984	
	—	—	—	—	S	—	—	—	—	Hendriks et al., 1986	
	—	S	—	—	—	—	—	—	—	Field et al., 1988	
	—	S	—	—	—	—	—	—	—	Hendriks et al., 1988	
	—	S	—	—	—	—	—	—	—	Ghiselin, 1989	
	—	S	—	—	—	—	—	—	—	Lake, 1989b	
	—	S	—	—	—	—	—	—	—	Patterson, 1989	
	—	S	—	—	—	—	—	—	—	Raff et al., 1989	
	—	S	—	—	—	—	—	—	—	Lake, 1990	
S	—	—	—	—	—	—	—	—	Christen et al., 1991		
—	S	—	—	—	—	—	—	—	Erwin, 1991		
—	—	—	—	S	—	—	—	—	Halanych, 1991		
Platyhelminthes	R	R	R	R	—	—	—	—	—	Blair and McManus, 1989	
Mollusca	—	S	—	—	—	—	—	—	—	Ghiselin, 1988	
Arthropoda	—	S	—	—	—	—	—	—	—	Abele et al., 1989	
Crustacea	—	S	—	—	—	—	—	—	—	Kim and Abele, 1990	
Insecta	—	—	—	—	—	—	S	—	—	DeSalle et al., 1987	
	—	S	—	—	—	—	—	—	—	Hancock et al., 1988	
—	—	S	—	S	—	—	—	—	—	Wheeler and Honeycutt, 1988	
—	R	R,S	R	R	—	—	—	—	—	Wheeler, 1989	
Echinoderms	—	—	—	—	S	—	—	—	—	Ohama, Hori and Osawa, 1983	
	—	S	—	—	—	—	—	—	—	Raff et al., 1988	
	—	S	—	—	—	—	—	—	—	Smith, 1989	
Chordata	R	R	R	R	—	—	—	—	—	Tanhauser et al., 1986	
	S	—	—	—	—	—	—	—	—	Le et al., 1989	
	S	—	—	—	—	—	—	—	—	Hillis, Dixon and Ammerman, 1991	
	—	S	—	—	—	—	—	—	—	Stock et al., 1991	

APPENDIX continuation
Phylogenetic studies of ribosomal DNA

Taxa	nL	nS	5.8S	Spacers	5S	mtS	mtL	cpS	cpL	Reference
Tetrapoda	S	—	—	—	—	—	—	—	—	Hillis and Dixon, 1989
	S	S	—	—	—	—	—	—	—	Hedges et al., 1990
	—	—	—	—	—	S	—	—	—	Meyer and Wilson, 1990
Amphibia	S	—	—	—	—	—	—	—	—	Hillis, 1991
Anura	R	—	—	—	—	—	—	—	—	Hillis and Davis, 1987
	S	—	—	—	—	—	—	—	—	de Sá and Hillis, 1990
Caudata	R	R	R	R	—	—	—	—	—	Hillis and Davis, 1986
	S	—	—	—	—	—	—	—	—	Larson and Wilson, 1989
	S	S	—	—	—	—	—	—	—	Larson, 1991
Amniota										
Mammalia	—	—	—	—	—	S	S	—	—	Miyamoto and Boyle, 1989
Artiodactyls	—	—	—	—	—	S	S	—	—	Miyamoto et al., 1989
	—	—	—	—	—	S	S	—	—	Miyamoto et al., 1990
	—	—	—	—	—	S	S	—	—	Kraus and Miyamoto, 1991
Chiroptera	R	R	R	R	—	—	—	—	—	Baker et al., 1991
	R	R	R	R	—	—	—	—	—	Van Den Bussche, in press
Marsupials	—	—	—	—	—	—	S	—	—	Thomas et al., 1989
Primates	R	R	R	R	—	—	—	—	—	Nelkin et al., 1980
	R	R	R	R	—	—	—	—	—	Wilson et al., 1984
	—	—	—	—	—	S	S	—	—	Hixson and Brown, 1986
Rodents	S	—	—	S	—	—	—	—	—	Gonzalez et al., 1990
	—	—	—	R	—	—	—	—	—	Suzuki et al., 1986
	—	—	—	S	—	—	—	—	—	Sasaki et al., 1987
	—	—	—	R	—	—	—	—	—	Suzuki et al., 1987
	—	—	—	R	—	—	—	—	—	Nevo and Beiles, 1988
	R	R	R	R	—	—	—	—	—	Allard and Honeycutt, 1991
Sauria										
Lepidosauria	R	R	R	R	—	—	—	—	—	Sites and Davis, 1989
Aves	R	R	R	R	—	—	—	—	—	Mindell and Honeycutt, 1989
	R	R	R	R	—	—	—	—	—	Cracraft and Mindell, 1989